



COMMON APPLICATION FORM FOR OPEN-END EQUITY AND BALANCED SCHEMES

PLEASE USE SEPARATE FORM FOR EACH SCHEME
(PLEASE READ INSTRUCTIONS CAREFULLY TO HELP US SERVE YOU BETTER)

Sr.No. 2010/

Registrar Sr. No.

DISTRIBUTOR INFORMATION (only empanelled Distributors/Brokers will be permitted to distribute Units)				
ARN	Broker Name	Sub-Broker Code/ Bank Branch Code	M O Code	UTIRM No.

CR / CA Code	For Chief Representative	
	DD Amount	
	DD Charges	
	Total	
DD No.:	Dated:	Drawn on:

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. Have you invested in UTI MF earlier. Yes No

If yes, please provide : Scheme Name Folio (Optional)

APPLICANT'S PERSONAL DETAILS (Please fill in Block Letters) Mr. Ms. Mrs.

Name of First Applicant

F	I	R	S	T	M	I	D	D	L	E			
L	A	S	T	Date of Birth	d	d	m	m	y	y	y	y	Mandatory for minors

First Applicant's Address (Do not repeat the name) Name & Address of resident relative in India (for NRIs) (P.O. Box No. is not sufficient)

Village/Flat/Bldg./Plot*
Street/Road/Area
City* State Pin*
Tel.No.(R) STDCODE (0) STDCODE Mobile
e-mail Alternate e-mail

*PAN OF 1ST APPLICANT/FATHER/MOTHER/GUARDIAN (whose particulars are furnished in the form)

Enclosed PAN Card Copy Please (✓) Know Your Customer (KYC)
KYC Mandatory for Investment of Rs.50,000 & above
Copy of KYC acknowledgement enclosed Yes No

I wish to opt for Consolidated Account Statement (CAS) across schemes of all fund houses at the periodicity stipulated by Association of Mutual Funds of India. Please (✓)

If you wish to receive the following via e-mail (Refer instruction k) Please (✓)
 Account Statement Annual Report Transaction Confirmation Communication of change of address, bank details etc. CAS

OVERSEAS ADDRESS (Overseas address is mandatory for NRI / FII applicants in addition to mailing address in India)

State Country* City* Zip/Pin*

NAME IN FULL OF THE FATHER/MOTHER OR GUARDIAN (IN CASE OF MINOR)/ CONTACT PERSON FOR INSTITUTIONAL APPLICANTS Mr. Ms. Mrs.

F	I	R	S	T	M	I	D	D	L	E	L	A	S	T
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

OPTION FOR DESPATCH OF STATEMENT OF ACCOUNT

Applicant's address / (for NRIs) At my Overseas address as mentioned above (for NRIs) To be despatched to my resident relative's address in India as given above

DETAILS OF OTHER APPLICANTS

Name of 2nd Applicant Mr. Ms. Mrs. Date of Birth of 2nd Applicant d d m m y y y y

F	I	R	S	T	M	I	D	D	L	E	L	A	S	T
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

*PAN of 2nd Applicant Enclosed PAN Card Copy Please (✓) Know Your Customer (KYC)
KYC Mandatory for Investment of Rs.50,000 & above
Copy of KYC acknowledgement enclosed Yes No

Name of 3rd Applicant Mr. Ms. Mrs. Date of Birth of 3rd Applicant d d m m y y y y

F	I	R	S	T	M	I	D	D	L	E	L	A	S	T
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

*PAN of 3rd Applicant Enclosed PAN Card Copy Please (✓) Know Your Customer (KYC)
KYC Mandatory for Investment of Rs.50,000 & above
Copy of KYC acknowledgement enclosed Yes No

PAYMENT DETAILS

Cheque / DD* No.	Amt. of investment (i)	*Please mention the application No. on the reverse of the cheque/DD. Cheque/DD must be drawn in favour of "The Name of the Scheme" & crossed "A/c Payee Only"
Date	DD Charges if any (ii)	
Bank	Net amount paid (i-ii)	
Branch	Amt in words	
Account Type Please (✓) <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> DD issued from abroad		

ONLINE ACCESS

I/We wish to access the account online through 'invest@uti' at www.utimf.com.
I/We have read and understood terms & conditions available at www.utimf.com and agree to abide by the same concerning all my/our folios.



ACKNOWLEDGEMENT (To be filled in by the Applicant)

Sr. No. 2010/

Received from Mr / Ms / M/s _____
An application under _____ (scheme name)
along with Cheque / DD No.* _____ dated _____
Drawn on (Bank) _____
for Rs. (in figures) _____

Stamp of UTI AMC Office/
Authorised Collection Centre

* Cheques and drafts are subject to realisation.

INVESTMENT DETAILS (Please ✓)

- | | | | |
|--|--|---|--|
| <input type="checkbox"/> UTI-Balanced Fund | <input type="checkbox"/> UTI-Leadership Equity Fund | <input type="checkbox"/> UTI-MNC Fund | <input type="checkbox"/> UTI-Services Industries Fund |
| <input type="checkbox"/> UTI-Banking Sector Fund | <input type="checkbox"/> UTI-Master Index Fund | <input type="checkbox"/> UTI-Nifty Index Fund | <input type="checkbox"/> UTI-Top 100 Fund |
| <input type="checkbox"/> UTI-Contra Fund | <input type="checkbox"/> UTI-Master Plus Unit Scheme | <input type="checkbox"/> UTI-Opportunities Fund | <input type="checkbox"/> UTI-Transportation & Logistics Fund |
| <input type="checkbox"/> UTI-Dividend Yield Fund | <input type="checkbox"/> UTI-Mastershare Unit Scheme | <input type="checkbox"/> UTI-Pharma & Healthcare Fund | <input type="checkbox"/> UTI-Wealth Builder Fund Series II |
| <input type="checkbox"/> UTI-Energy Fund | <input type="checkbox"/> UTI-Master Value Fund | <input type="checkbox"/> S&P CNX NIFTY UTI NOTIONAL DEpository Receipts Scheme (SUNDER) | |
| <input type="checkbox"/> UTI-Equity Fund | <input type="checkbox"/> UTI-Mid Cap Fund | | |
| <input type="checkbox"/> UTI-Infrastructure Fund | | | |

Plan available only under UTI-Banking Sector Fund and UTI-Wealth Builder Fund Series II.

Regular/Retail Plan Institutional Plan (Minimum is Rs.5 crore under UTI-Banking Sector Fund and Rs.1 crore under UTI-Wealth Builder Fund Series II). (Default is Regular/Retail Plan. However, if the application is for amounts of Rs.5 crore/Rs.1 crore and above the default Plan is the Institutional Plan.)

OPTION (for all schemes) Growth Dividend Payout Dividend Reinvestment (Default is growth option)

I wish to Opt for Systematic Investment Plan (SIP). I wish to Opt for Automatic Trigger Facility.
(Investor opting for Systematic Investment Plan (SIP) & / or Automatic Trigger Facility may fill in separate form/s prescribed for the same & attach with this application form.)

Systematic Withdrawal Plan (available under Growth Option of all schemes except SUNDER, UTI-Banking Sector Fund - Institutional Plan & UTI-Wealth Builder Fund – Series II – Institutional Plan) - Please fill separate form.

BANK PARTICULARS OF 1ST APPLICANT (Mandatory as per SEBI Guidelines)

Bank Name			Branch
Address			MICR Code <input type="text"/> (this is a 9-digit number next to your cheque number)
City	Pin*	IFS Code <input type="text"/>	
Account type (please ✓)	<input type="checkbox"/> Savings	<input type="checkbox"/> Current	<input type="checkbox"/> NRO <input type="checkbox"/> NRE
Account No.	<input type="text"/>		

Annual Income of First Individual Applicant (Please ✓) < 5 Lacs > 5 Lacs - < 15 Lacs > 15 Lacs - < 25 Lacs > 25 Lacs * Denotes Mandatory Fields

GENERAL INFORMATION - Please (✓) wherever applicable

Status	Resident Individual <input type="checkbox"/>	Minor through guardian <input type="checkbox"/>	HUF <input type="checkbox"/>	Partnership <input type="checkbox"/>	Trust <input type="checkbox"/>
	Company <input type="checkbox"/>	Sole Proprietorship <input type="checkbox"/>	Society <input type="checkbox"/>	Body Corporate <input type="checkbox"/>	AOP <input type="checkbox"/>
	BOI <input type="checkbox"/>	FII <input type="checkbox"/>	NRI <input type="checkbox"/>	Others <input type="checkbox"/>	
Mode of Holding	Single <input type="checkbox"/>	Anyone or survivor <input type="checkbox"/>	Joint <input type="checkbox"/>		
Occupation	Business <input type="checkbox"/>	Student <input type="checkbox"/>	Agriculture <input type="checkbox"/>	Self-employed <input type="checkbox"/>	Professional <input type="checkbox"/>
	Housewife <input type="checkbox"/>	Retired <input type="checkbox"/>	Service <input type="checkbox"/>	Others <input type="checkbox"/>	
Marital Status	Unmarried <input type="checkbox"/>	Married <input type="checkbox"/>	Wedding Anniversary <input type="checkbox"/>	<input type="text"/>	<input type="text"/>

NOMINATION DETAILS

I/We hereby nominate the undermentioned Nominee to receive the amounts to my / our credit in the event of my / our death. I/We also understand that all payments and settlements made to such Nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC / Mutual Fund / Trustee.

Name and address of Nominee	To be furnished in case nominee is a minor
Name	Name of the guardian:
Date of Birth (in case nominee is a minor)	Address of guardian
Address	Signature of nominee/guardian (For minor)

Investors who wish to nominate two or three persons may fill in the separate form prescribed for the same and attach it with this application form.

DECLARATION AND SIGNATURES OF APPLICANT/S

I/We have read and understood the contents of the Scheme Information Document, Statement of Additional Information and Key Information Memorandum, addenda issued till date and apply to the Trustee of UTI Mutual Fund as indicated above. I/We agree to abide by the terms and conditions, rules and regulations of the scheme as on the date of investment. I/We undertake to confirm that this investment has been duly authorised by appropriate authorities in terms of all relevant documents and procedural requirements.

I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making investments.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

*I/We confirm that we are Non-Residents of Indian Nationality/Origin and that the funds are remitted from abroad through approved banking channels or from my / our NRE / NRO Account. I/We undertake to provide further details of source of funds and any such other relevant documents, if called for by UTI Mutual Fund. * Applicable to NRI's

Signature of 1st Applicant / Guardian
Name of the 1st Authorised Signatory

Designation _____

Signature of 2nd Applicant
Name of the 2nd Authorised Signatory

Designation _____

Signature of 3rd Applicant
Name of the 3rd Authorised Signatory

Designation _____

Notes:

- If the application is incomplete and any other requirement is not fulfilled, the application is liable to be rejected.
- In case the applicant does not receive the Statement of Account within 30 days from the date of acceptance of the application, he/she may please write to the Registrar quoting serial number, date of acknowledgement and the name of the accepting authority.
- Please ensure that all PAN details are given, failing which your application will be rejected (PAN not applicable for Micro SIP).**
- All communications relating to issue of Statement of Account, Change in Name, Address or Bank Particulars, Nomination, Redemption, Death Claims, etc., may please be addressed to the Registrar :

SYSTEMATIC INVESTMENT PLAN (SIP)/MICRO SIP (MANDATE FORM FOR AUTO DEBIT)



(Please read instructions)

AGENT's Name and ARN	Sub Broker Code	MO Code

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

Sole / First Investor Name _____

Application No. / Existing Folio No. _____ Mobile No. _____

Scheme/Plan _____

Each SIP/Micro SIP Amount (Rs.) _____ Frequency: Mntly Qrtly Date : 1st 7th 15th 25th

SIP/Micro SIP Period : Start from End on 5 Years or 10 Years or 15 Years or

PAN* 1st applicant Date of Birth Email ID _____

#

DETAILS OF OTHER APPLICANTS

Name of 2nd applicant (Mr./Ms./Mrs.) _____

PAN* 2nd applicant Date of Birth Email ID _____

#

Name of 3rd applicant (Mr./Ms./Mrs.) _____

PAN* 3rd applicant Date of Birth Email ID _____

#

* **Mandatory field for SIP.** # **Details of Documents Attached for Micro SIP.**

I / We hereby, authorise UTI Mutual Fund and their authorised service providers, to debit my/our following bank account by Direct Debit/ECS Debit for collection of SIP/Micro SIP Payments.

PARTICULARS OF BANK ACCOUNT

Bank Name _____

Branch Name _____

Account Number _____ 9 Digit MICR Code _____

A/C Type Savings Current NRE NRO IFS Code _____

Please provide the MICR Code of the bank branch from where the ECS/Direct Debit is to be effected. MICR code starting or ending with 000 are not valid for ECS.

Accountholder Name as in Bank Account _____

I/We hereby declare that the particulars given above are correct and express my willingness to make payments referred above through participation in Auto Debit. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information or other reasons, I/we would not hold UTI Mutual Fund responsible. I/We will also inform UTI Mutual Fund, about any changes in my bank account. I/We have read and understood the contents of the SAI, SID, KIM, Instructions and Addenda issued from time to time of the respective Scheme(s) of UTI Mutual Fund mentioned within and have read and agreed to the terms and conditions of SIP/Micro SIP.

I/We do not have any existing Micro SIPs which together with the current application will result in aggregate investments exceeding Rs. 50,000 in a year (applicable only for Micro SIP applicants).

I/We hereby authorise UTI MF to send my Statement of Account (SoA)/ Abridged Annual Report/All other communication related to my investment in SIP/Micro SIP only through e-mail instead of physical copy. (Those who wish to get physical SOA/AAA/All other Communication may delete the same).

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

I have attached cancelled blank cheque or its Photocopy and PAN Card/Document copies of all applicants.

Signature: _____
 1st Applicant / Guardian / Authorised Signatory 2nd Applicant / Authorised Signatory 3rd Applicant / Authorised Signatory

Banker's Attestation (For bank use only)	Signature of Authorised Official from Bank with Stamp and Date
Certified that the signature of the account holder and the details of Bank account are correct as per our records.	

TEAR AWAY

To, **Authorisation of the Bank Account Holder (to be signed by the Investor)**
 The Branch Manager (To be retained by the Bank)

PIN _____

This is to inform that I/We hereby register for the RBI's Electronic Clearing Service (Debit Clearing)/Direct Debit and that my/our payment towards my investment in UTI Mutual Fund shall be made from my/our below mentioned bank account with your bank. I authorise you to honour such payments. I/We also authorise the representative carrying this Direct/ECS Debit Mandate Form to get it verified & executed, if necessary. The verification charges, if any, may be debited to my/our account.

Bank Account Number _____

First Account Holder's Signature (As in Bank Records)	
Second Account Holder's Signature (As in Bank Records)	
Third Account Holder's Signature (As in Bank Records)	